

UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS PO Box 1430 Alexascins, Virginia 22313-1450 www.nepto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
10/557,600	11/22/2005	Cordula Mock-Knoblauch	281546US0PCT	6081	
23859 57850 03486999 OBLON, SPIVAK, MCCLELLAND MAIER & NEUSTADT, P.C. 1940 DUKE STREET			EXAM	EXAMINER	
			MRUK, BRIAN P		
ALEXANDRIA, VA 22314			ART UNIT	PAPER NUMBER	
			1796		
			NOTIFICATION DATE	DELIVERY MODE	
			03/18/2009	ELECTRONIC	

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

patentdocket@oblon.com oblonpat@oblon.com jgardner@oblon.com

Application No. Applicant(s) 10/557,600 MOCK-KNOBLAUCH ET AL. Office Action Summary Examiner Art Unit Brian P. Mruk 1796 -- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --Period for Reply A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS. WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION. Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication. If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b). Status 1) Responsive to communication(s) filed on 17 February 2009. 2a) ☐ This action is FINAL. 2b) This action is non-final. 3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213. Disposition of Claims 4) Claim(s) 18 and 20-43 is/are pending in the application. 4a) Of the above claim(s) is/are withdrawn from consideration. 5) Claim(s) _____ is/are allowed. 6) Claim(s) 18 and 20-43 is/are rejected. 7) Claim(s) _____ is/are objected to. 8) Claim(s) _____ are subject to restriction and/or election requirement. Application Papers 9) The specification is objected to by the Examiner. 10) ☐ The drawing(s) filed on 22 November 2005 is/are: a) ☐ accepted or b) ☐ objected to by the Examiner. Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a). Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d). 11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152. Priority under 35 U.S.C. § 119 12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f). a) All b) Some * c) None of: Certified copies of the priority documents have been received. 2. Certified copies of the priority documents have been received in Application No. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)). * See the attached detailed Office action for a list of the certified copies not received. Attachment(s) 1) Notice of References Cited (PTO-892) 4) Interview Summary (PTO-413) Paper No(s)/Mail Date. Notice of Draftsperson's Patent Drawing Review (PTO-948) 5) Notice of Informal Patent Application 3) Information Disclosure Statement(s) (PTO/SB/08)

Paper No(s)/Mail Date _

6) Other:

Application/Control Number: 10/557,600 Page 2

Art Unit: 1796

DETAILED ACTION

Continued Examination Under 37 CFR 1.114

- 1. A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR 1.114. Applicant's submission filed on February 17, 2009 has been entered.
- This Office action is in response to Applicant's amendment filed February 17,
 Applicant has amended claims 18, 24 and 26. New claims 38-43 have been
 Currently, claims 18 and 20-43 remain pending in the application.
- The text of those sections of Title 35 U.S. Code not included in this action can be found in the prior Office actions, Paper Nos. 20080228 and 20081020.
- The objection to the abstract for being longer than one page is maintained for the reasons of record.

Application/Control Number: 10/557,600 Page 3

Art Unit: 1796

 The rejection of claims 18 and 20-37 under 35 U.S.C. 102(a) as anticipated by or, in the alternative, under 35 U.S.C. 103(a) as obvious over Seipel et al, DE 10117500, is withdrawn in view of applicant's amendments and remarks.

- 6. The provisional rejection of claims 18 and 20-37 on the ground of nonstatutory obviousness-type double patenting as being unpatentable over claims 1-17 and 20 of copending Application No. 10/556,793 is maintained for the reasons of record.
- 7. The provisional rejection of claims 18 and 20-37 on the ground of nonstatutory obviousness-type double patenting as being unpatentable over claims 17-34 of copending Application No. 10/588,719 is maintained for the reasons of record.

NEW GROUNDS OF REJECTION

Claim Rejections - 35 USC § 102

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action: Application/Control Number: 10/557,600 Page 4

Art Unit: 1796

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

 Claims 38-40 are rejected under 35 U.S.C. 102(b) as anticipated by or, in the alternative, under 35 U.S.C. 103(a) as obvious over Kerobo et al, U.S. Patent No. 6.133.218.

Kerobo et al, U.S. Patent No. 6,133,218, discloses a composition comprising a blend of two nonionic surfactants (see abstract and col. 2, lines 17-20), wherein suitable nonionic surfactants include hetric /block polyoxyalkylene polymers of formula II (see col. 3, lines 10-30). It is noted by the examiner that the hetric /block polyoxyalkylene polymer of formula II disclosed by Kerobo et al reads on instant claims 38-40 when Y is an alkyl group containing 6-18 carbon atoms, A is butylene oxide, o is 26, m is 110, and n is zero (see col. 3, lines 18-30). Therefore, instant claims 38-40 are anticipated by Kerobo et al, U.S. Patent No. 6,133,218.

In the alternative that the above disclosure is insufficient to anticipate the above listed claims, it would have nonetheless been obvious to the skilled artisan to produce the claimed composition, as the reference teaches each of the claimed ingredients within the claimed proportions for the same utility.

Double Patenting

11. The nonstatutory double patenting rejection is based on a judicially created doctrine grounded in public policy (a policy reflected in the statute) so as to prevent the unjustified or improper timewise extension of the "right to exclude" granted by a patent and to prevent possible harassment by multiple assignees. A nonstatutory

Application/Control Number: 10/557,600

Art Unit: 1796

obviousness-type double patenting rejection is appropriate where the conflicting claims are not identical, but at least one examined application claim is not patentably distinct from the reference claim(s) because the examined application claim is either anticipated by, or would have been obvious over, the reference claim(s). See, e.g., In re Berg, 140 F.3d 1428, 46 USPQ2d 1226 (Fed. Cir. 1998); In re Goodman, 11 F.3d 1046, 29 USPQ2d 2010 (Fed. Cir. 1993); In re Longi, 759 F.2d 887, 225 USPQ 645 (Fed. Cir. 1985); In re Van Ornum, 686 F.2d 937, 214 USPQ 761 (CCPA 1982); In re Vogel, 422 F.2d 438, 164 USPQ 619 (CCPA 1970); and In re Thorington, 418 F.2d 528, 163 USPQ 644 (CCPA 1969).

A timely filed terminal disclaimer in compliance with 37 CFR 1.321(c) or 1.321(d) may be used to overcome an actual or provisional rejection based on a nonstatutory double patenting ground provided the conflicting application or patent either is shown to be commonly owned with this application, or claims an invention made as a result of activities undertaken within the scope of a joint research agreement.

Effective January 1, 1994, a registered attorney or agent of record may sign a terminal disclaimer. A terminal disclaimer signed by the assignee must fully comply with 37 CFR 3,73(b).

12. Claims 38-43 are provisionally rejected on the ground of nonstatutory obviousness-type double patenting as being unpatentable over claims 1-17 and 20 of copending Application No. 10/556,793. Although the conflicting claims are not identical, they are not patentably distinct from each other because copending Application No. 10/556,793 claims a similar mixture comprising a surfactant and an amphiphilic polymer that contains a hydrophobic backbone and at least one hydrophilic side chain, wherein the composition is in the form of an emulsion or microemulsion (see claims 1-17 and 20 of copending Application No. 10/556,793), as required in instant claims 38-43. Therefore, instant claims 38-43 are an obvious formulation in view of claims 1-17 and

This is a <u>provisional</u> obviousness-type double patenting rejection because the conflicting claims have not in fact been patented.

20 of copending Application No. 10/556,793.

Application/Control Number: 10/557,600

Art Unit: 1796

13. Claims 38-43 are provisionally rejected on the ground of nonstatutory obviousness-type double patenting as being unpatentable over claims 17-34 of copending Application No. 10/588,719. Although the conflicting claims are not identical, they are not patentably distinct from each other because copending Application No. 10/588,719 claims a similar mixture comprising a surfactant and an amphiphilic polymer that contains a hydrophobic backbone and at least one hydrophilic side chain, wherein the polymer has a molecular weight of 200-20,000 daltons, and the composition is in the form of an emulsion or microemulsion (see claims 17-34 of copending Application No. 10/588,719), as required in instant claims 38-43. Therefore, instant claims 38-43 are an obvious formulation in view of claims 17-34 of copending Application No. 10/588,719.

This is a <u>provisional</u> obviousness-type double patenting rejection because the conflicting claims have not in fact been patented.

Response to Arguments

 Applicant's arguments filed February 17, 2009 have been fully considered but they are not persuasive.

The examiner notes that the obviousness-type double patenting rejections over copending Application Nos. 10/556,793 and 10/588,719 are not the only rejections remaining in the instant application. Accordingly, these provisional rejections are maintained for the reasons of record.

Application/Control Number: 10/557,600

Art Unit: 1796

15. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Brian P. Mruk whose telephone number is (571) 272-

1321. The examiner can normally be reached on Mon-Thurs (7:00 AM-5:30 PM).

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Harold Pyon can be reached on (571) 272-1498. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Brian P Mruk/ Primary Examiner, Art Unit 1796

Brian P Mruk March 12, 2009 Brian P Mruk Primary Examiner Art Unit 1796